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Sheet 1 of 2

Form PTO-1449 (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use several sheets if necessary)

TECH CENTER 1600/2900

Atty. Docket No.  
1944-00201Serial No.  
09/852,958Applicant  
David A. SirbaskuFiling Date  
05/10/2001Group  
1623

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
SM	AA	5,135,849	08/04/92	Soto et al.	435	29	
	AB	5,075,425	12/24/91	Kotitschke et al.	530	387	
	AC	4,859,585	08/22/89	Sonnenschein et al.	435	29	
	AD	4,849,508	07/18/89	Magnin et al.	530	387	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
SM	AE	EP 0702960A	27/03/96	Europe	A61K 39	395		
	AF	WO 00/06723	10/02/00	PCT	C12N	15/12		
	AG	WO 95/09011	06/04/95	PCT	A61K	48/00		
	AH	WO 91/16061	31/10/91	PCT	A61K	35/14		

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

SM	AI	Partial International Search in PCT/US 01/15183, Applicant David A. Sirbasku, International Filing Date 10/05/2001, Date of Mailing 27/08/2002, pages 4
	AJ	Partial International Search in PCT/US 01/15171, Applicant David A. Sirbasku, International Filing Date 10/05/2001, Date of Mailing 29/08/2002, pages 3
	AK	JP Parisot et al., "Altered Expression of the IGF-1 Receptor in a Tamoxifen-Resistant Human Breast Cancer Cell Line," BRITISH JOURNAL OF CANCER, vol. 79, no. 5-6, pp. 693-700, 1999
	AL	David Danielpour et al., "Growth of MTW9/PL2 Estrogen-Responsive Rat Mammary Tumor Cells in Hormonally Defined Serum-Free Media," IN VITRO CELL. DEV. BIOL., vol. 24, no. 1, pp. 42-52, January 1988
	AM	Research Diagnostics Inc., 'Online! 10 January 2000 (2000-01-10), XP002207863, Retrieved from the Internet: <URL:http://www.researchd.com/rdiabs/igref.ser.htm> 'retrieved on 2002-07-29! The whole document, page 1
	AN	Jorge E. Moreno-Cuevas et al., "Estrogen Mitogenic Action. I. Demonstration of Estrogen-Dependent MTW9/PL2 Carcinogen-Induced Rat Mammary Tumor Cell Growth in Serum-Supplemented Culture and Technical Implications," IN VITRO CELL. DEV. BIOL.—ANIMAL, vol. 36, no. 7, pp. 410-427, July-August 2000
	AO	David A. Sirbasku et al., "Estrogen Mitogenic Action. II. Negative Regulation of the Steroid Hormone-Responsive Growth of Cell Lines Derived From Human and Rodent Target Tissue Tumors and Conceptual Implications," IN VITRO CELL. DEV. BIOL.—ANIMAL, vol. 36, no. 7, pp. 428-446, July-August 2000

1. 2/24/05

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continuation,

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

su	AP	Helenice Gobbi et al., "Transforming Growth Factor- $\beta$ and Breast Cancer Risk in Women With Mammary Epithelial Hyperplasia," JOURNAL OF THE NATIONAL CANCER INSTITUTE, vol. 91, no. 24, pp. 2096-2101, December 15, 1999,
↓	AQ	Ruth Sager, "Expression Genetics in Cancer: Shifting the Focus from DNA to RNA," PROC. NATL. ACAD. SCI. USA, vol. 94, no. 3, pp. 952-955, February 1997
↓	AR	Sanford D. Markowitz et al., "Tumor Suppressor Activity of the TGF- $\beta$ Pathway in Human Cancers," CYTOKINE & GROWTH FACTOR REVIEWS, vol. 7, no. 1, pp. 99-102, 1996

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.